

# RIMT UNIVERSITY

(Established by the Govt. of Punjab as per Punjab Act no. 31 of 2015 & under section 2(f) of the UGC)

### Research Promotion Policy

#### INTRODUCTION

To improve the Research & Development culture in the University, the following Research Promotion Policy for RIMT UNIVERSITY is suggested for approval and notification.

#### 1. PREAMBLE

Research & Development is considered as one of the most essential activity in any University leading to creation and dissemination of knowledge. R&D output such as research Publications, Patents, Copyrights, Transfer of Technology etc. in term of quality and quantity are at the core of mission and Vision of the RIMT University. The University vision aims to prepare the students with an integrated and technology-oriented education for a better career which encompasses creative interdisciplinary applied knowledge and its dissemination. Keeping in view the vision and mission of RIMT, the focus shall be on seeking increased knowledge that benefits the society by adopting appropriate policies through which the targeted research outputs, in terms of project reports, quality publications, patents, etc. are achieved.

### 2. OBJECTIVES

- 2.1 To strengthen the institutional capacity for research and encourage its faculty and research scholars to achieve highest standards of research by their commitment to engage in research, connect with industry and collaborate with other universities and research institutions of repute, both in India and abroad.
- 2.2 To ensure research funding through external grants for research projects.
- 2.3 To integrate research activities in various disciplines with the university thrust areas of research in line with local, regional and national priorities and sustainable development goals.
- 2.4 To create and maintain state of the art research infrastructure in the university to support cutting edge research activity.
- 2.5 To provide seed money for research and recognize the outstanding research scientists through financial and non-financial incentives.
- 2.6 To facilitate transfer of research knowledge, technology, innovations, etc. developed in RIMT into new/better products, processes and services for benefit of the society.

- 2.7 To facilitate protection of Intellectual Property Right(IPR) arising out of research by university faculty and research scholars.
- 2.8 To ensure proper dissemination of the research findings of the university both within and outside the country.

# 3. RESEARCH ORGANISATION AND MANAGEMENT

- 3.1 The implementation and updating of research policy shall be responsibility of the Dean Research who will co-ordinate research activities under the guidance of the Vice-Chancellor.
- 3.2 The University shall have a Research Advisory Board to advise and supervise research related matters in the university (RAB). The Board shall have the following members:
  - a. Vice-Chancellor, RIMT University. Chairman
  - b. Pro Vice-Chancellor
  - c. Dean/Director Academics
  - d. Registrar
  - e. Three Deans out of the followings, nominated by V.C:
    - Dean Agriculture
    - Dean Sciences
    - Dean Computing / Engg.
    - Dean Management
    - Dean Pharmaceutical Sciences
    - Dean Health Sciences
    - Dean Education and Social Sciences
  - f. Head of the concerned Department shall be a special invitee as and when a research proposal of any specific department is to be considered.
  - g. An External expert nominated by the Vice-Chancellor
  - h. Dean Research, Member Secretary.

    The Board shall meet at least once a year. The Chairman may invite persons of eminence from academic and industry who have made significant contribution in research.

# 4. POLICY POINTERS / GUIDELINES

4.1 In view of N.E.P. 2020, a Research & Development Fund will be established in RIMT University to give a big push to research activity. The fund will aim at strengthening the research infrastructure and financing of other operating expenses. During the first and second years a lump-sum amount (to be decided by the Vice-Chancellor) shall be placed in the Fund to strengthen research infrastructure. After the contribution to the fund will depend on case to case basis.

#### **4.2 RESEARCH PUBLICATIONS**

- a. Every faculty member shall be expected to engage in R&D activity
- b. Each faculty member will publish at least on research paper in SCOPUS/WOS/PUMBED Indexed Journal in each academic year.
- c. Faculty members who are acting as research supervisors will publish at least one additional research paper in SCOPUS/WOS/PUBMED indexed Journals as co-author. In the first year of registration of a research student for PhD, publication of review paper in SCOPUS/ WOS/ PUBMED indexed Journal can be considered adequate, for the purpose of above requirement.
- d. In order to develop research culture, the Departments having PG program should develop research-oriented curriculum for the final semester/year of the program requiring the students to conduct some research, submit in the form of thesis and defend the findings. Two students can jointly conduct their research under the mentor. Each group of two PG students will aim at publishing one research paper with research mentor as co-author in SCOPUS/WOS/PUBMED Indexed Journal / Conference. Research papers so published in journals only will count towards the requirement of minimum publication by faculty members in each academic year.
- e. Departments not offering PG program, having professional UG program will consider the feasibility of introducing research oriented curriculum in the final semester of the program. Presentation of a research paper by these students in a national Seminar/Conference will be acceptable.
- f. If a Research paper is accepted by SCOPUS/WOS/PUMBED Indexed Journal/Conference, the same shall be reported by the concerned faculty member to the Head of Institution through HOD along with the details of publication charges if any
- g. In order to promote research and professional activities including research publications, patents, copy rights etc. the university shall have a policy of providing financial and non –financial incentives to faculty members. The details of the schemes are being separately worked out and will be put in place.

# 4.3 RESEARCH PROJECT GRANTS BY EXTERNAL AGENCIES

a. All Professors, Associate Professors and Assistant Professors with doctorate degree shall endeavor to submit research projects for award of grants from external agencies such as DST,GOI, DBT,DRDO, ISRO, TIFAC, SERB, UGC, AICTE, CSIR, ICSSR, DST State Government etc. and international funding agencies.

- b. Each department shall prepare a list of external funding agencies who normally provide research project grants in their domain.
- c. The principal Investigator of the project may purchase equipment/consumables and appoint required staff, if any for the project as per purchase and staffing policy of RIMT.
- d. The principal Investigator will be responsible for completing the project as per time schedule and Budget limitations.
- e. As and when the grant for any research project is received from the external agency an amount equal to 10% of the project grant (in case the project grant is up to Rs 1 lakh) and 5% (in case the project grant is more than Rs 1 lakh) subject to a minimum of Rs ten thousand shall be placed in the Professional Development Fund(PDF). In case the project grant has some amount under the head overhead, the same, subject to the limits mentioned above shall be placed in the Professional Development Fund. If the grant is received in installment, the above transfer shall be made proportionally. The fund may be used by the principal investigator for travel and registration expenses for participation in any Seminar/Conference in India or abroad, travelling expenses for Library consultation, purchase of equipment and consumables for any of his/her research project, purchase of books, or any other expenditure approved by the Vice Chancellor. The Professional Development Fund will show separately the amount available for each principal investigator separately and it will be nonlapsable. However, if the principal investigator leaves the University without fully utilizing his/her share in the Fund, the balance of his/her share will be transferred to Research and Development Fund of the University.
- f. The Professional Development Fund for any project shall be shared among PI and Co-PI(s) as under:

S.No.	No of Investigator(s)	Incentive Percentage
1	Only PI	100%
2	One PI and One Co-PI	PI 60% and Co- PI 40%
3	One PI and Two Co-PIs	PI 50%, Co-PI 25% each
4	One PI and More than Two Co-PIs	PI 40% and 60% distributed equally among Co- PIs

### 4.4 RESEARCH SEED MONEY

- a. In order to help the new faculty, till they conceive, draft and submit major research proposals, the University shall earmark appropriate budget for Research Seed Money. The proposals for research seed money grants in prescribed performa upto Rs fifty thousand shall be evaluated by RAB Grant Committee set up by the Vice-Chancellor. In case a faculty member having received the seed money, fails to finalize the research proposal or fails to submit the research proposal, he/she will have to refund the seed money.
- b. If a research scholar, who has been registered for his research work for Ph.D. in RIMT, requires the research lab facility of some nearby University or research institution, he/she may apply through his/her supervisor for a lab utilization grant up to Rs five thousand. The concerned supervisor will have to certify that
- (i) The use of the required lab facility is necessary for the research work
- (ii) The required equipment/facility etc is not available in RIMT labs
- (iii) The purchase/installation of such equipment or facility is not feasible in view of high cost.

### 4.5 CONSULTANCY

Senior/experienced faculty should explore the possibility of obtaining consultancy project from corporate organizations, Government, autonomous organizations, NGOs etc. The consultancy fees received for a project after meeting the expenses incurred on the consulting project, shall be shared between the RIMT University and the faculty consultant in the ratio of 40:60. Such fees has to be received through a Cheque/Draft in favour of RIMT University. The Accounts Branch will keep a proper record of revenue received and expenses incurred on each consultancy project separately.

# 4.6 SUPORTING POLICIES GUIDELINES AND REGULATION

The University shall have supporting policy framework, if not already available for promotion of research such as, Ordinance on Ph.D. programme, policy on Research Ethics, Guidelines for Research on Human Subjects (as adopted from ICMR), Guidelines for Bio safety Committee (as adopted from DBT) and Guidelines for Animal Ethics Committee (as adopted from DST) and other applicable regulations.

#### 4.7 MONITORING

- a. All research output shall be assessed in terms of quality, quantity, etc by the Research Advisory Board.
- b. All financial grants received for the projects, expenses incurred, amounts given by way of incentives to faculty, reimbursement of expenses, seed money expense, amount transferred to and utilization out/of Professional Development Fund, expenses on lab utilization facility, etc shall be subject to audit as per university policy and the requirements of funding agency.
- c. It is expected that the University and the Principal Investigator will observe the standard financial practices and accounting procedure as required by the funding agency
- d. Disputes; if any, shall be referred to Research Advisory Board, whose decision shall be final.

This has been opproved by the Vice Chancellon in anticipation of approval by Froderice Cornellon By Broderice Cornellon 3/2/21

Ducilor Academics

Director Academics RIMT UNIVERSITY